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PTO/SB/05 (03-01)
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U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

F-292

First Inventor

Errico;

Title

Intravascular Space Device Having Engagement Hole Pairs for Manipulation Using a Surgical Tool

Express Mail Label No.

ER005068818US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages **74**]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the invention
 - Brief Summary of the invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets **27**]
5. Oath or Declaration [Total Pages **3**]
 - a. ☒ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
 - c. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☒ 37 CFR 3.73(b) Statement (when there is an assignee) ☒ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP)

of prior application No.: 10/282,356; 10/309,585; 10/425,267

Prior application Information: Examiner

Group Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label



or ☐ Correspondence address below

Name

Address

City

Country

State

Zip Code

Telephone

Fax

Name (Print/Type)

Timothy J. Bortree

Registration No. (Attorney/Agent)

43,506

Signature

Date

9/16/2003

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

The PTO did not receive the following listed items (copy 64-66 of spec

03970 U.S. PTO
10/663492

09/16/03

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

Complete if Known

Application Number	
Filing Date	9/16/2003
First Named Inventor	Errico;
Examiner Name	
Group Art Unit	
Attorney Docket No.	F-292

TOTAL AMOUNT OF PAYMENT (\$) 410.00

METHOD OF PAYMENT		FEE CALCULATION (continued)																																																																																																																																																																					
<p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:</p> <p>Deposit Account Number: 50-2615</p> <p>Deposit Account Name: SpineCore</p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17</p> <p><input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27</p> <p>2. <input type="checkbox"/> Payment Enclosed:</p> <p><input type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other</p>		<p>3. ADDITIONAL FEES</p> <table border="1"> <thead> <tr> <th>Large Entity Fee Code (\$)</th> <th>Small Entity Fee Code (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>105 130 205 65</td> <td></td> <td>Surcharge - late filing fee or oath</td> <td></td> </tr> <tr> <td>127 50 227 25</td> <td></td> <td>Surcharge - late provisional filing fee or cover sheet</td> <td></td> </tr> <tr> <td>139 130 139 130</td> <td></td> <td>Non-English specification</td> <td></td> </tr> <tr> <td>147 2,520 147 2,520</td> <td></td> <td>For filing a request for ex parte reexamination</td> <td></td> </tr> <tr> <td>112 920* 112 920*</td> <td></td> <td>Requesting publication of SIR prior to Examiner action</td> <td></td> </tr> <tr> <td>113 1,840* 113 1,840*</td> <td></td> <td>Requesting publication of SIR after Examiner action</td> <td></td> </tr> <tr> <td>115 110 215 55</td> <td></td> <td>Extension for reply within first month</td> <td></td> </tr> <tr> <td>116 390 218 185</td> <td></td> <td>Extension for reply within second month</td> <td></td> </tr> <tr> <td>117 890 217 445</td> <td></td> <td>Extension for reply within third month</td> <td></td> </tr> <tr> <td>118 1,390 218 695</td> <td></td> <td>Extension for reply within fourth month</td> <td></td> </tr> <tr> <td>128 1,890 228 945</td> <td></td> <td>Extension for reply within fifth month</td> <td></td> </tr> <tr> <td>119 310 219 155</td> <td></td> <td>Notice of Appeal</td> <td></td> </tr> <tr> <td>120 310 220 155</td> <td></td> <td>Filing a brief in support of an appeal</td> <td></td> </tr> <tr> <td>121 270 221 135</td> <td></td> <td>Request for oral hearing</td> <td></td> </tr> <tr> <td>138 1,510 138 1,510</td> <td></td> <td>Petition to institute a public use proceeding</td> <td></td> </tr> <tr> <td>140 110 240 55</td> <td></td> <td>Petition to revive - unavoidable</td> <td></td> </tr> <tr> <td>141 1,240 241 620</td> <td></td> <td>Petition to revive - unintentional</td> <td></td> </tr> <tr> <td>142 1,240 242 620</td> <td></td> <td>Utility issue fee (or reissue)</td> <td></td> </tr> <tr> <td>143 440 243 220</td> <td></td> <td>Design issue fee</td> <td></td> </tr> <tr> <td>144 800 244 300</td> <td></td> <td>Plant issue fee</td> <td></td> </tr> <tr> <td>122 130 122 130</td> <td></td> <td>Petitions to the Commissioner</td> <td></td> </tr> <tr> <td>123 50 123 50</td> <td></td> <td>Processing fee under 37 CFR 1.17(q)</td> <td></td> </tr> <tr> <td>126 180 126 180</td> <td></td> <td>Submission of Information Disclosure Stmt</td> <td></td> </tr> <tr> <td>581 40 581 40</td> <td></td> <td>Recording each patent assignment per property (times number of properties)</td> <td>40.00</td> </tr> <tr> <td>146 710 246 355</td> <td></td> <td>Filing a submission after final rejection (37 CFR § 1.129(a))</td> <td></td> </tr> <tr> <td>149 710 249 355</td> <td></td> <td>For each additional invention to be examined (37 CFR § 1.129(b))</td> <td></td> </tr> <tr> <td>179 710 279 355</td> <td></td> <td>Request for Continued Examination (RCE)</td> <td></td> </tr> <tr> <td>169 900 169 900</td> <td></td> <td>Request for expedited examination of a design application</td> <td></td> </tr> <tr> <td colspan="3">Other fee (specify)</td> <td></td> </tr> <tr> <td colspan="3">SUBTOTAL (1) (\$) 370.00</td> <td></td> </tr> <tr> <td colspan="2"> <p>2. 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SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Timothy J. Bortree	Registration No. (Attorney/Agent)	43,506
Signature		Telephone	908-273-6461
		Date	9/16/2003

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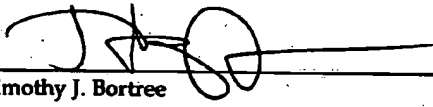
Commissioner for Patents
Mail Stop Patent Application
P.O. Box 1450
Alexandria, VA 22313-1450

Express Mail Label Number: ER005068818US

DOCUMENTS INCLUDED:

- ☒ Patent Application Transmittal (1 page)
- ☒ Fee Transmittal Form (1 page) (in duplicate)
- ☒ Specification and Claims (74 pages) (including 5 pages of claims)
- ☒ Informal Drawings (27 sheets)
- ☒ Oath(s) or Declaration(s) (3 pages)
- ☒ Power(s) of Attorney (1 pages)
- ☒ Assignment(s) (3 pages)
- ☒ Recordation Form Cover Sheet (1 page) (in duplicate)
- ☒ Statement Under 37 CFR 3.73(b) (1 page)
- ☒ Return Receipt Postcard
- ☐ _____
- ☐ _____
- ☐ _____

Signed: _____


Timothy J. Bortree

Reg. No. 43,506

Date: 9/16/2003

DOCKET NUMBER: F-292